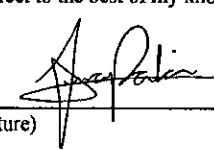


NVB 3001 (Effective 1/21)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor: Meta Materials Inc.	Case Number: 24-50792-hlb	COURT USE ONLY
1. Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"): <p style="text-align: center; font-size: 1.2em;">Juan Esteban Pabon Rincon</p> <p>Telephone Number: 203-4967824</p>	<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case. <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.	
NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.		
Account or other number by which Interest holder identifies Debtor: 215 212870	Check here if this claim: <input type="checkbox"/> replaces a previously filed Proof of Interest dated: _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated: _____	
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest: E*TRADE SECURITIES LLC PO BOX 484 JERSEY CITY, NJ 07303-0484 Telephone Number: 800-387-2331	3. Date Equity Interest was acquired: <p style="text-align: center; font-size: 1.1em;">Attached is the record of sold securities for MMAT from 11/19/2021 to 05/02/2024</p>	
4. Total amount of member interest: <u>11111</u>	5. Certificate number(s): _____	
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest: Description: <u>Investor</u>		
7. Supporting Documents: Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8. Date-Stamped Copy: To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9. Signature: Check the appropriate box. <div style="display: flex; justify-content: space-between;"> <div> <input checked="" type="checkbox"/> I am the creditor. <input type="checkbox"/> I am the creditor's authorized agent. (Attach copy of power of attorney, if any.) </div> <div> <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent. (See Bankruptcy Rule 3004.) </div> <div> <input type="checkbox"/> I am a guarantor, surety, endorser, or other codebtor. (See Bankruptcy Rule 3005.) </div> </div> <p>I declare under penalty of perjury that the information provided in this claim is true and correct to the best of my knowledge, information, and reasonable belief.</p> <div style="display: flex; justify-content: space-between;"> <div> Print Name: <u>Juan E Pabon R.</u> Title: _____ Company: _____ Address and telephone number (if different from notice address above): _____ </div> <div style="text-align: center;">  (Signature) </div> <div style="text-align: right;"> <u>11/06/2024</u> (Date) </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div>Telephone number: 203-496-7824</div> <div>email: juanpeople7@yahoo.com</div> </div>		

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571

Print Form











Save Form

Clear Form

▼ Filters

Filter by Symbol/CUSIP	Security Types	Covered	Term
MMAT	All securities	All	All

Total Gain	Short-Term Gain	Long-Term Gain	Deferred Loss	Total Commission & Fees
\$161.20	\$161.20	\$0.00	-\$1,117.99	\$170

✓	Symbol	Qty #	Date Added	Cost / Share	Total Cost	Date	Price / Share	Proceeds	Gain \$	Deferred Loss \$	Term	Lot Selection	Swap Lot Action
✓	MMAT 	11,017	--	--	\$3,389.69	--	--	\$4,432.90	\$161.20	-\$1,117.99	Mixed	--	
	Sell 	100	11/19/2021	4.24	424.00	12/01/2022	1.85	184.98	0.00	-239.02	Long	FIFO	
	Sell	1,000	01/28/2023	1.005	1,005.00	02/02/2023	1.015	1,014.83	9.83	--	Short	Specific Lot	
	Sell 	1	11/18/2021	3.738	3.74	03/10/2023	0.527	0.53	0.00	-3.21	Long	FIFO	
	Sell	4,362	07/20/2023	0.211	920.38	08/12/2023	0.219	958.82	38.44	0.00	Short	Specific Lot	
	Sell	3,360	08/27/2023	0.185	621.60	09/12/2023	0.213	715.86	95.26	0.00	Short	Specific Lot	
	Sell 	63	01/06/2022	2.58	162.54	12/01/2022	1.85	116.54	0.00	-46.00	Short	FIFO	
	Sell 	100	12/17/2021	2.84	284.00	12/01/2022	1.85	184.98	0.00	-99.02	Short	FIFO	
	Sell 	100	12/15/2021	3.089	308.91	12/01/2022	1.85	184.98	0.00	-123.93	Short	FIFO	
	Sell 	126	12/01/2021	3.79	477.54	12/01/2022	1.85	233.08	0.00	-244.46	Short	FIFO	
	Sell 	74	12/03/2021	3.53	261.21	12/01/2022	1.85	136.89	0.00	-124.32	Short	FIFO	
	Sell 	100	12/10/2021	3.14	314.00	12/01/2022	1.85	184.98	0.00	-129.02	Short	FIFO	
	Sell 	100	12/15/2021	2.94	293.99	12/01/2022	1.85	184.98	0.00	-109.01	Short	FIFO	
	Sell	1,531	06/28/2023	0.204	312.78	10/05/2023	0.217	332.46	10.68	0.00	Short	Specific Lot	
	Total				\$3,389.69			\$4,432.90	\$161.20	-\$1,117.99			

Viewing 1 of 1 filtered results

As of 11/04/2024

Connecting





Portfolios

Refresh Nov 5, 2024 03:47 PM ET Help

Positions Allocation Performance Historical value Margin Gains & Losses Risk Assessment Estimated Income Shareholder Actions

Account: Individual Brokerage -2670 Net Account Value: Total Unrealized Gain: Day's Gain Unrealized: Show more Transfer money

Time Period: Custom Date From: 01/01/2022 Date Closed: 11/05/2024

Filters

Filter by Symbol/CUSIP: MMATQ Security Types: All securities Covered: All Term: All

Total Gain	Short-Term Gain	Long-Term Gain	Deferred Loss	Total Commission & Fees
\$0.00	\$0.00	\$0.00	-\$127.87	\$0.03

Symbol	Qty	Date Added	Cost / Share	Total Cost	Date	Price / Share	Proceeds	Gain \$	Deferred Loss \$	Term	Lot Selection	Swap Lot Action
MMATQ	94	-	-	\$37.46	-	-	209.59	0.00	-127.87	Short	-	-
Sell	94	05/02/2024	3.59	\$37.46	05/16/2024	2.23	209.59	0.00	-127.87	Short	Specific Lot	-
Total				\$37.46			209.59	\$0.00	-127.87			

Viewing 1 of 1 filtered results

As of 11/04/2024

Looking for more tax information?



We understand it's hard to find what you need to understand, plan, and manage your taxes during tax season. That's why we created the Tax Center - one place for all your tax needs.

Go to Tax Center



For Unrealized Gains & Losses, please visit your portfolio's Position page.

View Positions

Looking to learn more?

Tax Loss harvesting

Cost basis

Advantages of tax-deferred retirement accounts

Please read all the important disclosures below.

Investing:

Check the background of Morgan Stanley Smith Barney LLC on FINRA's BrokerCheck and see the Morgan Stanley Smith Barney LLC Relationship Summary.



11.017 +
94

11.111 Total sold

